# Subacute to Chronic Mild Traumatic Brain Injury

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Although a universally accepted definition is lacking, mild traumatic brain injury and concussion are classified by transient loss of consciousness, amnesia, altered mental status, a Glasgow Coma Score of 13 to 15, and focal neurologic deficits following an acute closed head injury. Most patients recover quickly, with a predictable clinical course of recovery within the first one to two weeks following traumatic brain injury. Persistent physical, cognitive, or behavioral postconcussive symptoms may be noted in 5 to 20 percent of persons who have mild traumatic brain injury. Physical symptoms include headaches, dizziness, and nausea, and changes in coordination, balance, appetite, sleep, vision, and hearing. Cognitive and behavioral symptoms include fatigue, anxiety, depression, and irritability, and problems with memory, concentration and decision making. Women, older adults, less educated persons, and those with a previous mental health diagnosis are more likely to have persistent symptoms. The diagnostic workup for subacute to chronic mild traumatic brain injury focuses on the history and physical examination, with continuing observation for the development of red flags such as the progression of physical, cognitive, and behavioral symptoms, seizure, progressive vomiting, and altered mental status. Early patient and family education should include information on diagnosis and prognosis, symptoms, and further injury prevention. Symptom-specific treatment, gradual return to activity, and multidisciplinary coordination of care lead to the best outcomes. Psychiatric and medical comorbidities, psychosocial issues, and legal or compensatory incentives should be explored in patients resistant to treatment. (Am Fam Physician. 2012;86(11):1045-1051. Copyright © 2012 American Academy of Family Physicians.)

#### A handout on mild traumatic brain injury, written by the authors of this article, is avail-

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ith the wars in Iraq and Afghanistan, and with increased attention to athletes who experience concussions, there is a heightened awareness of traumatic brain injury. Improved guidelines on how to evaluate and manage concussion and mild traumatic brain injury (MTBI) in the acute setting have been developed, but confusion remains about accurate diagnosis and treatment in the subacute and chronic setting.<sup>1,2</sup> Varying definitions of concussion and MTBI, combined with the relative lack of evidence on long-term care and outcomes, contribute to this uncertainty (Table 1).3-8 As a means of convention, the term MTBI will be used for MTBI and concussion in this review.

#### **Epidemiology**

Approximately 1.7 million persons experience traumatic brain injury annually, with 75 percent of cases being MTBI.<sup>7,9</sup> Children four years and younger, young persons 15 to 19 years of age, and older adults are most

susceptible to MTBI, with falls being the most common etiology among patients older than 75 years. Men are more likely at every age to experience acute traumatic brain injury than women, although women are more likely to have subacute to chronic sequelae. Most patients with MTBI improve over the first few hours to days, but 5 to 20 percent may continue to have postconcussive symptoms for an extended period following the initial injury <sup>10,11</sup> (*Tables 2*<sup>8</sup> and 3<sup>8</sup>). Women, older adults, less educated persons, and those with a previous mental health diagnosis are prone to persistent symptoms.

In the United States, direct and indirect costs of MTBI in 2000 were estimated to be \$12 billion. Traumatic brain injury is described as the signature injury of military personnel serving in Operation Enduring Freedom and Operation Iraqi Freedom, with as many as 15 percent of combatants experiencing this type of injury. The precise incidence is debatable, largely because of disparity in definitions and overlap with

**Table 1. Definitions of Mild Traumatic Brain Injury** 

	Guideline		
Symptom/diagnostic finding*	American Congress of Rehabilitation Medicine <sup>3</sup>	American Academy of Neurology <sup>4</sup>	World Health Organization⁵
Altered mental status or alteration of consciousness (e.g., feeling dazed, disoriented, confused)	Any	Grade 1, < 15 minutes; grade 2, > 15 minutes	Transient‡
Amnesia	Posttraumatic amnesia less than 24 hours; any retrograde amnesia	Grade 1, posttraumatic amnesia < 15 minutes; grade 2, post- traumatic amnesia > 15 minutes	Less than 24 hours‡
Focal neurologic deficit	Any	_	Transient‡
Glasgow Coma Score	Initial score of 13 to 15	— Initial score of 13 to 15, 30 minutes after injury o presentation to care	30 minutes after injury or later on
Intracranial lesion	_	_	Not requiring surgery
Loss of consciousness	30 minutes or less	Grades 1-2, none; grade 3, seconds to minutes	30 minutes or less‡
Postconcussive syndrome	_	_	_
Seizure	_	_	Transient‡
Other symptoms/findings	_	Definition specifically for concussion in sports; symptoms may be divided into early and late categories, and may vary from case to case	Symptoms must not be related to penetrating head injury, intoxicants or other medications, or other diagnoses

<sup>\*—</sup>Unless otherwise stated, all definitions include having one or more of these symptoms or diagnostic findings following a nonpenetrating injury to the head. Symptoms left blank imply not being specifically addressed by the respective guideline.

Information from references 3 through 8.

### Table 2. Concussion and Mild Traumatic Brain Injury: Treatment of Common Persistent Behavioral and Cognitive Symptoms

Symptom	Pharmacologic treatment*	Referral after poor response to treatment
Anxiety	Anxiolytic drugs (short-term), SSRIs	Mental health referral, social support
Cognitive problems (e.g., trouble with concentration, memory, and decision making)	Consider pharmacologic treatment after ruling out sleep disorders  SSRIs	Consider referral to mental health services, cognitive rehabilitation, or traumatic brain injury subspecialist
Emotional problems (e.g., depression, irritability, poor frustration tolerance)	Antiepileptic drugs, SSRIs	Mental health referral, social support
Fatigue (e.g., loss of energy, easily tired)	Consider pharmacologic treatment after ruling out sleep disorders	Mental health referral

NOTE: Nonpharmacologic treatment for all symptoms includes reassurance, regular aerobic exercise, activity restriction, sleep hygiene education, and referral for sleep studies.

SSRI = selective serotonin reuptake inhibitor.

Adapted from U.S. Department of Veterans Affairs. VA/DoD clinical practice guideline for management of concussion/mild traumatic brain injury. Washington, DC: U.S. Department of Defense; April 2009. http://www.healthquality.va.gov/mtbi/concussion\_mtbi\_full\_1\_0.pdf. Accessed August 21, 2011.

<sup>†—</sup>Concussion is a complex pathophysiologic process that affects the brain and is induced by traumatic biomechanical forces. It typically involves transient neurologic impairment that resolves spontaneously as well as a stepwise course of clinical and cognitive symptom resolution, with 80 to 90 percent of concussions resolving in seven to 10 days.

<sup>‡—</sup>Must include one of the following: loss of consciousness, amnesia, altered mental status, focal neurologic deficit, or seizure.

<sup>\*—</sup>Additional information on pharmacologic treatment is available in appendix E of the U.S. Department of Veterans Affairs/Department of Defense clinical practice guideline for management of concussion/mild traumatic brain injury.

Zurich Consensus Statement <sup>6</sup> †	Centers for Disease Control and Prevention <sup>7</sup>	U.S. Department of Veterans Affairs/ Department of Defense <sup>8</sup>
Transient	Transient	Transient up to 24 hours
_	Around the time of injury	Posttraumatic amnesia for less than one day
_	Transient	_
_	_	Best score in first 24 hours of 13 to 15
None visible on imaging	_	None visible on imaging
May or may not occur	30 minutes or less	30 minutes or less
May occur in a small subset of patients	_	_
_	_	_
Definition specifically for concussion in sports; concussion may also occur with impulsive force transmitted to the head	_	Specifically uses terms concussion and mild traumatic brain injury interchangeably

other conditions.<sup>8</sup> Use of the term postconcussive syndrome should be discouraged because many of the symptoms are subjective and difficult to predict consistently. They also occur in persons who do not have a traumatic brain injury, but who may have mental health disorders, chronic pain syndromes, and other disease processes.<sup>8,15</sup> Postconcussive symptoms are equally prevalent in patients with MTBI and non–head injury trauma.<sup>16</sup> The overlap of MTBI symptoms with those of other disease processes in patients who may have extenuating circumstances can complicate a workup. For example, patients seeking compensation or who are involved in litigation may exaggerate symptoms, whereas athletes seeking expedited return to play may minimize symptoms.<sup>5,17</sup>

#### **Clinical Presentation**

The most common physical symptom in the days to weeks following the initial injury is headache.<sup>8</sup> Other symptoms include nausea, blurred vision, fatigue, and sleep

disturbances. Typically, most patients will notice improvement in these symptoms within 24 hours of the injury; some studies of patients with MTBI show that within seven days of the injury, the symptom score was equal to that of control groups of patients who did not have MTBI.<sup>18</sup>

Cognitive symptoms occurring in patients following MTBI include attention difficulties, memory problems, and executive dysfunction (a decreased ability to organize activities and thoughts, and to plan and reason effectively). These symptoms are typically mild and difficult to detect on routine testing. Patients with these symptoms often describe a slowing of their thought processes. These symptoms typically improve in the first two to four weeks following the injury; however, a small percentage of patients may have prolonged symptoms.<sup>8,15</sup>

Behavioral symptoms that may occur following MTBI include irritability, mood and sleep disturbances, and fatigue. Persons with preexisting depression, anxiety, posttraumatic stress disorder, or substance abuse disorders are at much higher risk of MTBI symptoms.<sup>8,15</sup> Other factors that promote post-injury behavioral symptoms include lower functional and socioeconomic status<sup>8,19</sup> (*Table 4*<sup>8</sup>).

#### **Diagnostic Evaluation**

The diagnostic evaluation of acute MTBI has been discussed previously in *American Family Physician* and more recently by other organizations.<sup>6,8,20,21</sup> Guidelines issued by the U.S. Department of Veterans Affairs and the U.S. Department of Defense outline the management of subacute to chronic MTBI (*Figure 1*).<sup>8</sup> Because patients can present with a range of symptoms, the diagnostic workup of subacute to chronic MTBI focuses on the specific nature of each patient's symptoms and physical examination findings.

The physical examination should include a neurologic examination focusing on the patient's mental status, the cranial nerves, deep tendon reflexes, strength, gross cutaneous sensation, and postural stability. Physicians should also assess visual acuity, visual fields, and eye movements, and conduct a focused musculoskeletal examination of the head, neck, and jaw. Any abnormal findings should be documented and compared with baseline examination findings if possible. Any new red flag findings, or

Table 3. Concussion and Mild Traumatic Brain Injury: Treatment of Persistent Physical Symptoms

Symptom	Pharmacologic treatment*	Nonpharmacologic treatment	Referral considerations if poor response to treatment†
Change in appetite	_	_	Consider mental health referral
Dizziness	Antibiotics, decongestants for middle ear infections and fluid	_	ENT referral; neurology referral after ENT interventions
Headache	Non-narcotic analgesics, nonsteroidal anti-inflammatory drugs; triptans (for migraine)	Sleep hygiene education, physical therapy, relaxation	Neurology referral, pain clinic
Hearing problems (e.g., sensitivity to noise)	_	Environmental modifications	Audiology or ENT referral; speech and language pathology referral for patients with sensitivity to noise
Loss of balance, poor coordination	_	Physical therapy	Neurology referral
Nausea	Antiemetics	Sleep hygiene education	Gastroenterology referral
Sleep disturbances	Sleep medications	Sleep hygiene education	Mental health, neurology, or physical medicine and rehabilitation referral
Vision problems (e.g., blurring, photophobia)	_	Sleep hygiene education, light desensitization, sunglasses	Optometry or ophthalmology referral‡

ENT = ear, nose, and throat.

Adapted from U.S. Department of Veterans Affairs. VA/DoD clinical practice guideline for management of concussion/mild traumatic brain injury. Washington, DC: U.S. Department of Defense; April 2009. http://www.healthquality.va.gov/mtbi/concussion\_mtbi\_full\_1\_0.pdf. Accessed August 21, 2011.

symptoms that have progressed since a previous normal workup, should prompt additional assessment or consultation with an appropriate subspecialist (*Table 5*).<sup>8</sup>

There are no specific laboratory tests designed to diagnose persistent MTBI. Basic laboratory testing includes a

complete blood count and thyroid-stimulating hormone and electrolyte levels. Many imaging modalities are not applicable to the workup of subacute to chronic MTBI, although computed tomography and magnetic resonance imaging of the brain may be used in the setting of advanc-

ing or new red flag symptoms (Table 5).8

If cognitive symptoms persist or become disabling, formal neuropsychologic testing should be considered for further clarification.8,15 Neuropsychologic testing helps reveal factors that could contribute to persistent distress and symptom reporting, including personality style, emotional distress, symptom exaggeration, and cognitive impairment. Testing assesses the patient's memory, attention capacity, and visual and spatial coordination, as well as his or her ability to reason, solve problems, understand and express language, and plan and organize thoughts. Test results may augment a differential diagnosis, guide further referrals to subspecialists and rehabilitation, and distinguish true pathology from malingering (particularly in cases involving litigation

Table 4. Risk Factors for Persistent Symptoms and Poorer Overall Outcomes with Mild Traumatic Brain Injury

Before injury	At time of injury	After injury
Age (older) Less education or lower levels of intelligence Low socioeconomic status Mental health disorders (e.g., depression, anxiety, traumatic stress, substance use) Neurologic conditions Sex (female)	Acute symptom presentation (e.g., headaches, dizziness, or nausea in the emergency department) Context of injury (e.g., stress, combat- related, traumatic) Lack of support system	Chronic pain conditions Compensation Lack of support system Less education Litigation (e.g., malingering, delayed resolution) Psychiatric disorders

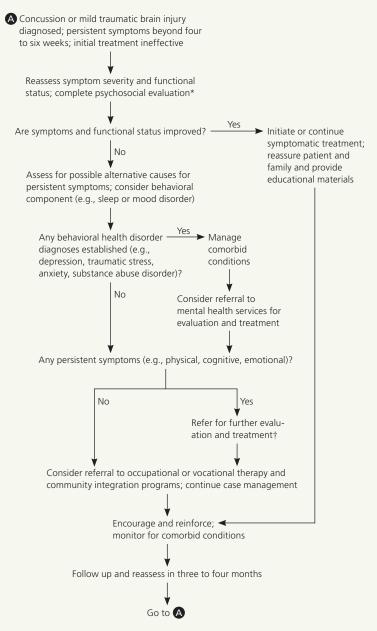
Adapted from U.S. Department of Veterans Affairs. VA/DoD clinical practice guideline for management of concussion/mild traumatic brain injury. Washington, DC: U.S. Department of Defense; April 2009. http://www.healthquality.va.gov/mtbi/concussion\_mtbi\_full\_1\_0.pdf. Accessed August 21, 2011.

<sup>\*—</sup>Additional information on pharmacologic treatment is available in appendix E of the U.S. Department of Veterans Affairs/Department of Defense clinical practice guideline for management of concussion/mild traumatic brain injury.

<sup>†—</sup>Because all subspecialists are not equally proficient or current in the treatment of mild traumatic brain injury, it may be beneficial to determine the best local or regional referral options, including concussion and traumatic brain injury specialty clinics.

<sup>‡—</sup>Depending on local resources, patients with impaired vision may be referred to a neuro-ophthalmologist. Impaired vision may result from problems with oculomotility or from disorders of the retina and visual pathways.

## Management of Concussion and Mild Traumatic Brain Injury



\*—Evaluation should include the patient's support system, a mental health history, a review of any comorbid conditions (e.g., chronic pain, mood disorders, stress disorder, personality disorder), the possibility of a substance use disorder, any secondary gain issues (e.g., compensation, litigation), and whether the patient is unemployed or has had a change in job status.

†—Patients should be referred to a family physician or multidisciplinary concussion management center. These should include at least one physician and may include the following: neuropsychologists; counselors; case managers; and speech, occupational, and physical therapists.

Figure 1. Management of concussion and mild traumatic brain injury.

Adapted from U.S. Department of Veterans Affairs. VA/DoD clinical practice guideline for management of concussion/mild traumatic brain injury. Washington, DC: U.S. Department of Defense; April 2009. http://www.healthquality.va.gov/mtbi/concussion\_mtbi\_full\_1\_0.pdf. Accessed August 21, 2011.

or compensation).8 Testing can also be used to detect pathology in scenarios in which patients may be motivated to minimize symptoms, such as when desiring to return to work or athletics.

#### **Natural History**

Most persons who experience uncomplicated MTBI will recover within one to two weeks following injury.<sup>8,17,18</sup> Neuropsychologic group test scores are generally no different between patients with MTBI and the control group by three months after injury.<sup>5,22</sup> Female sex, older age, lack of social support, less education, and comorbid mental health disorders have been linked to poor outcomes following the injury.<sup>8,17,18,22</sup>

#### **Treatment**

Limited evidence exists for the management of MTBI; as such, the focus of treatment is on early education, managing specific symptoms, and preventing complications<sup>8,23-26</sup> (*Tables 2*<sup>8</sup> and 3<sup>8</sup>).

Following acute MTBI, early education pertaining to diagnosis, prognosis, and symptoms creates realistic expectations, reduces anxiety, and helps normalize symptoms.<sup>8,24,25</sup> Such reassurance has been shown to reduce symptom reporting in adults and children at three and six months after the injury.<sup>24</sup> Effective interventions can include a single educational session and handout.<sup>24</sup>

Initially, patients should be counseled about physical and cognitive rest, followed by a gradual return to normal activities. Patients should be monitored during recovery and encouraged to avoid overexertion while increasing work, school, and other activities as tolerated, because symptoms occasionally worsen or reappear. In most cases, this is the only intervention needed, and complete recovery will be achieved in days or weeks.

Beyond early education and support, treatment of persistent symptoms of MTBI is undertaken without the benefit of research-based guidelines or therapies.<sup>25-27</sup> In persons with chronic or severe MTBI

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sequelae, it becomes increasingly important to identify and address comorbidities or secondary problems that mimic the symptoms of MTBI or that complicate recovery, such as depression, chronic pain, or situational stress.<sup>15,28-30</sup>

Targeted treatment of persistent symptoms is recommended on a case-by-case basis. Behavioral treatments and pharmacologic management of sleep dysfunction, headache, fatigue, emotional disturbance, and cognitive difficulties may be undertaken with efforts to help the patient cope with stress and resume a more active life<sup>8,11</sup> (*Tables 2*<sup>8</sup> and 3<sup>8</sup>). Ultimately, a biopsychosocial approach is needed to understand and care for patients who have persistent symptoms.

Referral to a specialized multidisciplinary clinic may be warranted in some instances.<sup>8</sup> The term neurorehabilitation has emerged to represent a comprehensive multidisci-

plinary treatment approach that focuses on empowering each patient with his or her unique skills and attitudes to help overcome the injury, addressed in relation to each patient's neurologic injury sequelae. Such multidisciplinary neurorehabilitative teams often include physicians; neuropsychologists; counselors; case managers; and speech, occupational, and physical therapists. Mental health treatment for persistent behavioral symptoms after MTBI (e.g., anxiety, depression or other mood disorders, sleep disorders, personality changes) should focus on the severity of individualized symptoms and comorbidities.<sup>8</sup>

#### Table 5. Red Flags in Patients with Head Injury

Altered consciousness
Behaves unusually or seems confused and irritable
Cannot recognize persons that the patient should be able to recognize or is disoriented to place
Double vision

Progressively declining neurologic examination

Pupillary asymmetry Repeated vomiting Seizures Slurred speech Unsteady on feet Weakness or numbness in arms or legs Worsening headache

Adapted from U.S. Department of Veterans Affairs. VA/DoD clinical practice guideline for management of concussion/mild traumatic brain injury. Washington, DC: U.S. Department of Defense; April 2009. http://www.healthquality.va.gov/mtbi/concussion\_mtbi\_full\_1\_0.pdf. Accessed August 21, 2011.

Clinical recommendation	Evidence rating	References
Neuropsychologic testing should be considered in patients with MTBI if cognitive symptoms are persistent or become disabling following injury. Such testing can determine specific disturbances in reasoning, problem solving, memory, attention, visual and spatial coordination, ability to understand and express language, and ability to plan and organize thoughts.	С	8, 15
Education on recovery should be provided to patients with MTBI as soon as possible after the injury, with reassurance of an expected positive outcome. This limits anxiety surrounding brain injury and leads to	A	8, 24, 25

MTBI = mild traumatic brain injury.

decreased persistent MTBI symptoms.

changes) should focus on the severity of

individualized symptoms and comorbidities.

Mental health treatment for persistent behavioral

symptoms after MTBI (e.g., anxiety, depression or

other mood disorders, sleep disorders, personality

A = consistent, good-quality patient-oriented evidence; B = inconsistent or limited-quality patient-oriented evidence; C = consensus, disease-oriented evidence, usual practice, expert opinion, or case series. For information about the SORT evidence rating system, go to http://www.aafp.org/afpsort.xml.

Limited evidence suggests that cognitive behavioral therapy (CBT) is effective in the setting of acute stress disorder following MTBI.<sup>31</sup> CBT, alone or combined with a comprehensive neurorehabilitation program, is well suited for the treatment of MTBI.<sup>31</sup> It helps patients focus on developing effective coping behaviors, reducing stressors, and preventing relapse, and how to proactively address feelings of loss associated with decreased cognitive and physical functioning.<sup>31</sup> CBT can also be structured to address impairments in memory, attention, and problem solving.<sup>31</sup>

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#### **Quality Improvement and Prevention**

The optimal treatment for MTBI is prevention of the initial injury. The Centers for Disease Control and Prevention has an online resource that provides information for physicians, including educational materials for patients, schools, and workplaces on how to reduce head injuries and facilitate improved health outcomes at http://www.cdc.gov/concussion/HeadsUp/physicians\_tool\_kit.html.

Data Sources: Primary sources for this paper included an evidence report provided by *AFP* on March 3, 2010, based on the terms concussion and head injury, and a PubMed Clinical Queries Report for "mild+traumatic+brain+injury" provided by *AFP* on March 3, 2010. The lead author also searched the Agency for Healthcare Research and Quality evidence reports, the Cochrane database, the Database of Abstracts of Reviews of Effects, Essential Evidence Plus, the National Guideline Clearinghouse database, ACP Journal Club, Health Technology Assessment, and the NHS Economic Evaluation Database. Search date: April 28, 2010.

The opinions and assertions contained herein are the private views of the authors and are not to be construed as official or as reflecting the views of the U.S. Navy, the U.S. Department of Defense, or the U.S. Department of Veterans Affairs.

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Author disclosure: Dr. Rieger has previously been paid by Utica National Insurance Company to speak to high school administrators and staff on the topic of concussion in sports; the other authors have no relevant financial affiliations to disclose.

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