

Systematic Use of Patient-Rated Depression Severity Monitoring: Is It Helpful and Feasible in Clinical Psychiatry?

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Objective: The gap between evidence-based treatments and routine care has been well established. Findings from the Sequenced Treatments Alternatives to Relieve Depression (STAR*D) emphasized the importance of measurement-based care for the treatment of depression as a key ingredient for achieving response and remission; yet measurement-based care approaches are not commonly used in clinical practice. **Methods:** The Nine-Item Patient Health Questionnaire (PHQ-9) for monitoring depression severity was introduced in 19 diverse psychiatric practices. During the one-year course of the project the helpfulness and feasibility of implementation of PHQ-9 in these psychiatric practices were studied. The project was modeled after the Institute for Healthcare Improvement Breakthrough Series. Two of the 19 practices dropped out during the course of the project. **Results:** By the conclusion of the study, all remaining 17 practices had adopted PHQ-9 as a routine part of depression care in their practice. On the basis of responses from 17 psychiatrists from those practices, PHQ-9 scores influenced clinical decision making for 93% of 6,096 patient contacts. With the additional information gained from the PHQ-9 score, one or more treatment changes occurred during 40% of these clinical contacts. Changing the dosage of antidepressant medication and adding another medication were the most common treatment changes recorded by psychiatrists, followed by starting or increasing psychotherapy and by switching or initiating antidepressants. In 3% of the patient contacts, using the PHQ-9 led to additional suicide risk assessment. **Conclusions:** The study findings suggest that adopting measurement-based care, such as using the PHQ-9, is achievable, even in practices with limited resources. (*Psychiatric Services* 59:1148–1154, 2008)

Depression is highly prevalent, is often chronic and recurrent, and is the most common diagnosis among patients of U.S. psychiatrists, accounting for over 50% of patient visits (1–3). Although evidence suggests greater adequacy of depression treatment in psychiatric settings, compared with primary care practices (4–6), there is significant room for improvement. Kessler and colleagues (4) found that only 64% of patients with depression who were treated in specialty mental health settings received “minimally adequate” guideline-based care; other studies found that only 49%–67% of patients received three months of treatment (7–9). These simple “adequacy of treatment” guidelines, however, mask the fact that despite availability of several well-studied instruments, psychiatrists do not use measurement tools to monitor patient progress and treatment response. In a study in the United Kingdom, a majority of psychiatrists did not utilize standardized measures for depression case identification, functioning, clinical audit, or measuring clinical change over time (10); we have no reason to suspect that utilization rates would be higher in the United States.

More recently, the Texas Medication Algorithm Project (TMAP) and the Sequenced Treatment Alternatives to Relieve Depression (STAR*D) effectiveness trials employed simple-to-use methods in measurement-based

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care and examined their impact on depression care in primary care settings and in psychiatric practice settings (11–13). The core of measurement-based care consisted of a depression treatment algorithm and use of severity measures to evaluate symptoms at critical decision points. Although there is no direct evidence that the use of measures led to improved depression outcomes in STAR*D, investigators hypothesized that the use of such measures facilitated change in provider behavior, which may have contributed to improved patient outcomes and to achieving response and remission rates that were comparable to rates found in efficacy trials (14). Kashner and colleagues (15) reported on the implementation of the Structured Clinical Interview for DSM-IV Axis I Disorders (SCID) that was administered by psychiatric nurses in outpatient public mental health clinics; the study found that implementing SCID was feasible and clinically useful, influencing patients' diagnosis as well as the prescribing pattern and dosing.

Patient-rated depression assessments have been found to be equivalent to clinician-rated depression severity assessments (16–18). This finding has facilitated adoption of these assessments by lessening the barriers of clinician training and administration time, which limit routine use of depression severity measures in clinical practice. Several patient-rated instruments are currently available, including the Quick Inventory of Depressive Symptomatology–Self-Rated (QIDS-SR) (19) and the Nine-Item Patient Health Questionnaire (PHQ-9) (20).

The PHQ-9 parallels the *DSM-IV* symptom criteria for depressive disorders. Each symptom is measured on a 4-point scale for frequency over a two-week period; scores range from 0, not at all, to 3, nearly every day, with an overall score ranging between 0 and 27. Thresholds for levels of severity are as follows: 0–4, none; 5–9, mild; 10–14, moderate; 15–19, moderately severe; and 20–27, severe. [A copy of the PHQ-9 is available as an online supplement at ps.psychiatryonline.org.] Because of its brevity, ease of administration and

interpretation, and dual capability of assessing *DSM-IV* criteria and symptom severity, the PHQ-9 has been used increasingly in primary care for screening as well as in the routine follow-up of patients with depression (20–23). Many psychiatrists, however, do not consider a simple instrument such as the PHQ-9, which includes items they routinely ask, to provide added benefit to their practice.

The overarching aims of this pilot study are to assess the helpfulness of PHQ-9 in psychiatric practice and to test office-based management strategies that optimize the monitoring and treatment of depression. Specific questions addressed include the following: Do psychiatrists find PHQ-9 scores helpful in their practice? What percentage of treatment decisions are altered on the basis of the PHQ-9 score? What percentage of patients with depression who are treated by psychiatrists complete initial and follow-up assessments with the PHQ-9? And what office-based changes need to be implemented to support PHQ-9–based proactive monitoring in solo and group psychiatric practices and other systems of care?

Methods

The National Depression Management Leadership Initiative is a collaborative effort of the American Academy of Family Physicians, the American College of Physicians, and the American Psychiatric Institute for Research and Education (APIRE), an affiliate of the American Psychiatric Association (APA). The data reported pertains to the sample of psychiatrists and their patients. The study design at the practice level is quasi-experimental one-group, pretest-posttest; design at the patient-level is longitudinal observational. The program began in March 2005 and concluded by April 2006.

Participants and sites

At the outset of the study, 19 psychiatric practices were recruited nationally: six practices from the South, five from the mid-Atlantic, four from the Midwest, and four from the West and Southwest. Practices represented a variety of organizational structures—that is, six group multispecialty prac-

tices, six group mental health specialty practices, four departmental practices that were part of a larger system of care, one outpatient public clinic, and two solo private practitioners with minimal office assistance.

Psychiatric practices were enrolled in the project upon agreeing to identify two representatives from their practice to participate in three learning sessions during the 12-month project period; to complete the baseline and follow-up questionnaires and clinically detailed visit-based questionnaires; and to form an improvement team within their practice to identify and implement improvements for depression care. In return, practice representatives were reimbursed for their travel costs to project learning sessions and received a \$1,500 stipend per practice for participation in the project.

Two practices dropped out of the project: one was part of a group mental health specialty practice; the other practice belonged to a larger system of care. Both practices were unable to fulfill data collection requirements of the project.

The intervention

Modeled after the Institute for Health Care Improvement Breakthrough Series (24), this study recruited practices to volunteer for this pilot project. The intervention was spread over a year. It entailed a series of three weekend-long face-to-face learning sessions—each session was followed by an action phase, which consisted of testing improvements and conference calls where practices shared their experiences and lessons learned. At the outset of the project, each practice identified a lead psychiatrist and a nonphysician coleader, who were charged with attending the learning sessions and implementing the project at their respective practice sites. Lead psychiatrists who participated in the project included 16 APA members and three nonmembers. Project coleaders consisted of six nursing staff, four social workers, three psychologists, two clinical research coordinators, two office assistants, one health care administrator, and one case manager.

The learning sessions were struc-

tured to introduce practices to strategies for improving depression care through application of the chronic care model (25,26). Practices were introduced to the Plan-Do-Study-Act cycle to test small changes in a rapid fashion for implementation of incremental improvements. Learning sessions demonstrated the use of PHQ-9 to facilitate the monitoring of depression severity, the implementation of a registry for tracking patients with depression, and the systematic planning for and documentation of self-management. A more detailed description of a similar intervention has been described previously (27).

Data collection

During the course of the study, both practice-level and patient-level data were collected. Data on race-ethnicity of psychiatrists, coleaders, and their patients were not collected.

Practice level. Project psychiatrists were asked to complete two surveys at baseline (after practices were recruited) and at the conclusion of the study (one year after the baseline). The first survey was the 21-item Assessment of Clinician Depression Management (ACDM), adapted for psychiatric care from the Assessment of Chronic Illness Care (28). The second survey was the Practice Information Form (PIF). These surveys obtained information about general characteristics of each practice and its office system's capacity to provide depression care. Similarly, project coleaders were asked to complete the ten-item Assessment of Depression-Practice (ADM-P) at baseline and the 12-month follow-up, to provide assessment of practices' depression care from their perspective.

The improvement team roster was completed by each practice to identify improvement team members and their roles. Monthly reports provided qualitative data on the process of implementing change and successes or barriers encountered by the practices. At the conclusion of the project, a program evaluation was completed by both the lead psychiatrist and the co-leader.

Patient level. Project psychiatrists were asked to administer the PHQ-9 each time a new or existing patient

age 18 years or older with a primary or secondary axis I diagnosis of a depressive disorder (even patients in remission) had a medical visit at his or her selected outpatient practice site. Patients with bipolar disorder, schizophrenia, and other psychotic disorders were excluded from this study. Lead psychiatrists evaluated patients in their caseload; patient-level data from other clinicians in the selected practice sites were not gathered. For patients who met the aforementioned inclusion criteria, the project psychiatrist completed the following set of information for each encounter on the Depression Monitoring Flow Sheet (DMFS): patient's overall PHQ-9 score for that encounter; the helpfulness of PHQ-9 in clinical decision making; and the type of treatment changes made, if any, based on the PHQ-9 assessments. The deidentified DMFSs were forwarded to APIRE on a monthly basis. The DMFS was pretested by clinicians before its implementation.

Institutional review board

On the basis of the decision of the APIRE Institutional Review Board (IRB) and the IRBs of participating practices, lead psychiatrists and their coleaders were required to sign consent forms. The deidentified patient-level data reported by the psychiatrists via DMFSs, however, were exempt; practices were not required to obtain patients' informed consent.

Analytic methods

All analyses were performed with SAS software. Basic frequencies, cross-tabulations, and their respective chi square tests, as well as paired t tests for subscales and total scores, are reported in the following section.

Results

Nineteen practices (including 19 psychiatrists and 19 coleaders) enrolled in the project, two practices withdrew during the course of the project, and one practice's local IRB review process delayed completion of baseline practice-level information. Therefore, 16 out of 17 practices that continued with the project completed both baseline and follow-up practice-

level data that were collected via ACDM, ADM-P, and PIF.

Seven out of 16 lead psychiatrists who completed the ACDM reported a caseload consisting of 25% or more patients from a racial or ethnic minority group. Two psychiatrists provided care to a caseload consisting of 25% or more Medicaid patients.

Use of standardized depression assessment

Table 1 displays various ways in which practices utilized standardized depression assessments. At baseline, 16 out of 17 practices reported on their use of standardized depression assessments: six used these assessments for screening or diagnostic purposes, and four used these assessments to monitor change. The types of standardized depression assessments used at baseline included the PHQ-9, QIDS-SR, the Beck Depression Inventory, the Center for Epidemiologic Studies Depression Scale, and the Zung Self-Rating Depression Scale. By the end of 12 months, all 16 practices were usually or always using PHQ-9 for screening, diagnosis, and monitoring change among patients with depression. [An appendix showing a comparison between practices with prior experience in using standardized depression assessments at baseline and those without such experience is available as an online supplement at ps.psychiatryonline.org.]

Characteristics of patients with depressive disorders

By the conclusion of the study, all 17 lead psychiatrists who continued with the study provided patient-level data on 6,363 clinical contacts for 1,763 patients with a diagnosis of depressive disorder. The sample was predominantly female (N=1,174, or 67%) with a median patient age of 49. The primary diagnosis included major depressive disorder (N=1,326, or 75%), depression not otherwise specified (N=190, or 11%), dysthymia (N=165, or 9%), anxiety disorder (N=54, or 3%), other mood disorders (N=7, or <1%), and other psychiatric diagnoses (N=22, or 1%). Forty-two percent of patients (N=740) had one or more co-occurring psychiatric conditions. The most common co-oc-

curing axis I diagnoses were anxiety disorder (N=336, or 19%), a second depressive disorder (N=186, or 11%), and substance use disorder (N=65, or 4%). One percent of patients (N=23) had a diagnosis of co-occurring personality disorder. At the initial visit, 54% (N=960) of patients had PHQ-9 scores ≥ 10 , indicating the presence of moderate symptoms, with 33% (N=575) having PHQ-9 scores ≥ 15 , considered to represent moderately severe or severe symptoms of depression.

Percentage of patients who completed initial and follow-up PHQ-9

According to data obtained from practices' monthly reports, on average across all practices 78% of lead psychiatrists' caseload of adult outpatients with depressive disorders in a given month completed at least one PHQ-9; the range varied across practices from 42% to 100%. On the basis of the DMFS data, of the 1,763 patients who completed the PHQ-9 during an initial visit, a total of 1,378 (78%) completed at least one PHQ-9 in follow-up visits (range varied across practices from 51% to 100%).

Psychiatrists' ratings of helpfulness of the PHQ-9

Reporting via DMFS, lead psychiatrists rated the PHQ-9 score as helpful for treatment decisions in 93% of patient visits (5,663 of 6,096 visits) for 97% of patients with depressive disorders (1,717 of 1,763 patients). Moreover, according to results of the program evaluation that was completed at the conclusion of the study, a majority of the 17 psychiatrists and co-leaders regarded the PHQ-9 to be extremely or very helpful for diagnosing depression (N=12, or 71%, for psychiatrists, and N=13, or 76%, for co-leaders, respectively), determining depression severity (N=16, or 94%, and N=16, or 94%, respectively), monitoring response (N=17, or 100%, and N=15, or 88%, respectively), tailoring treatment (N=14, or 82%, and N=13, or 76% respectively), monitoring risk of suicide (N=12, or 71%, and N=13, or 76%, respectively), and for therapeutic alliance (N=9, or 53%, and N=12, or 71%, respectively). No statistically significant differences were

Table 1

Use of standardized depression assessment in 16 psychiatric practices at the beginning and end of the 12-month study

Function and use of the standardized depression questionnaires	Baseline		Follow-up	
	N	% ^a	N	%
Screening				
Never, rarely, or occasionally	10	63	0	—
Usually or always	6	38	16	100
Diagnosing depression				
Never, rarely, or occasionally	10	63	0	—
Usually or always	6	38	16	100
Monitoring change				
Never, rarely, or occasionally	12	75	0	—
Usually or always	4	25	16	100

^a Because of rounding, percentages may not add up to 100%.

observed between the psychiatrists' and coleaders' reports with respect to the factors listed above.

Percentage of treatment decisions altered because of the PHQ-9 score

For visits where PHQ-9 was rated as helpful by the lead psychiatrist, the overall PHQ-9 score or item scores led to a treatment change during 40% of visits (2,215 of 5,578 visits), whereas for 60% of the encounters (3,363 of 5,578 visits), the score confirmed the benefits of continuing the treatment plan. During patient visits where treatment was adjusted, changing the dosage of the antidepressant or adding another medication was the most common event recorded by psychiatrists (Table 2). In 3% of the contacts, using the PHQ-9 led to additional suicide risk assessment, and in 1% of the contacts, use of the instru-

ment prompted reassessment of depression diagnosis.

Changes to support implementation of PHQ-9 monitoring

To implement the PHQ-9 successfully, practices employed Plan-Do-Study-Act methodology to test various office-based strategies to support the integration of PHQ-9 in routine care of patients with depression. These incremental adjustments and shared learning experiences led to significant office-based improvements.

Table 3 displays the results of the ACDM and ADM-P analyses. Sixteen surveys were available for pre-post analysis. Survey items were grouped into six domains (described in Table 3) in order to study various components of chronic care management issues related to depression care.

The data suggest that practices

Table 2

Treatment change events during psychiatrist-patient encounters where the nine-item Patient Health Questionnaire (PHQ-9) was perceived as being helpful^a

Action taken	N	%
Change dosage of antidepressant	972	44
Add another medication to treat depression	570	26
Start or increase psychotherapy	347	16
Switch antidepressant	273	12
Initiate antidepressant	225	10
Additional suicide risk assessment	61	3
Reassess depression diagnosis	28	1
Make mental health consultation or referral	22	1

^a More than one treatment change per visit may have occurred. Cumulatively, data were missing for 382 patient visits related to the helpfulness of PHQ-9, on how PHQ-9 influenced treatment, or on the type of action taken; hence a total of 2,185 visit data were used for this specific analysis.

Table 3

Clinician report of depression care practices at the beginning and end of the 12-month study

Variable	Lead psychiatrist (N=16)					Nonphysician coleader (N=14)				
	Baseline		Follow-up			Baseline		Follow-up		
	M	SE	M	SE	p	M	SE	M	SE	p
Use of a standardized questionnaire	3.4	.3	4.9	.2	<.001	3.0	.3	4.8	.1	<.001
Information system support	2.4	.3	4.5	.7	.011	2.0	.3	3.9	.2	<.001
Quality improvement efforts	3.8	.2	4.7	.2	.012	3.7	.2	4.6	.1	.005
Teamwork	3.5	.2	4.4	.4	.04	3.6	.3	4.0	.3	.174
Self-management support	2.8	.2	4.1	.2	<.001	2.6	.3	4.0	.3	<.014
Use of consultants	3.6	.2	4.3	.1	.001	—	—	—	—	—
Unweighted total	3.2	.2	4.2	.2	<.001	3.0	.2	4.3	.1	<.001
Weighted total	3.2	.2	4.5	.2	<.001	3.0	.2	4.3	.1	<.001

^a As measured by the Assessment of Clinician Depression Management for the lead psychiatrist and the Assessment of Depression Management–Practice for the nonphysician coleader. Response categories were scored on a Likert scale and range from 1, rarely or never; to 3, middle or moderate level; and to 5, routinely.

made substantial gains in various elements of chronic care management for depression. With the exception of the teamwork element from the coleaders' report, all other individual subscales and the overall scores showed significant improvements from baseline. Analysis of subscales common for both the lead psychiatrist and the project coleader demonstrated no significant differences with respect to depression management capacities within each practice.

Discussion

This study is unique because it examined the helpfulness and feasibility of a simple and easy-to-use depression symptom and severity monitoring tool (that is, PHQ-9) in routine psychiatric care of patients with depression. Unlike STAR*D and TMAP effectiveness studies, where a rigorous stepwise algorithm paralleled depression severity scores, this study tracked routine treatment of patients in psychiatric care. The main focus of the intervention in this study involved the use of PHQ-9 for monitoring depression severity, systematic tracking and population-based approaches for provision of high-quality patient care for depression, and systematic planning and documentation for self-management.

Our study findings suggest that measurement-based care approaches and, more specifically, use of the PHQ-9 for routine monitoring of depression severity and patient out-

come are useful clinical tools and feasible to implement in psychiatric practices. Moreover, this study demonstrates that adopting evidenced-based clinical methods is possible, even in practices with limited resources. However, strategies for implementation of PHQ-9 in routine care of patients should be pilot-tested before systemwide implementation and adoption.

At the outset of the project, a majority of practices never, rarely, or only occasionally used standardized depression assessments for screening, diagnosing, or monitoring depression severity. By the conclusion of the project, all 16 practices incorporated the use of PHQ-9 in the routine care of their patients. Project psychiatrists rated PHQ-9 as helpful in their treatment decisions for 93% of patient visits where it was used, which led to a treatment change during 40% of such contacts and confirmed the benefits of continuing a course of treatment for 60% of encounters.

Practices employed the Plan-Do-Study-Act approach to test what works before formal implementation of change. Through these incremental improvement processes, project practices demonstrated significant gains in major components of chronic illness management, including decision support (use of evidence-based depression monitoring assessment tools, such as the PHQ-9, and other evidence-based guidelines and tools to support clinical decision making);

clinical information system and delivery system design (establishing a registry for patients with depression to support proactive follow-up); self-management (collaborative planning and documentation of individualized self-management goals with patients); community linkages (improved communication with other providers and systematic follow-up of patients in shared care arrangements); and from the lead psychiatrists' perspective, improvements in organization of health care (gaining support of senior leadership and staff and establishing improvement teams to promote a team approach for implementing change).

This study did have some limitations. First, practice-level changes observed may possibly reflect national trends, which cannot be studied because of a lack of a comparison group. Second, practices volunteered to take part in this project; consequently, findings may not be generalizable to all psychiatric practices. Additionally, over one-third of practices had familiarity with measurement-based care and several utilized standardized depression assessments in their daily practice even before starting the project. Thus participating practices may have been better positioned to adopt measurement-based care, compared with a typical practice setting. Therefore, their reports of feasibility of integrating measurement-based care into practice may not translate as readily in less enthusi-

astic practices. However, recruitment via self-selection is an approach championed by the Institute for Healthcare Improvement Breakthrough Series methodology, which promotes engaging early adopters to test and implement quality improvements and subsequently assume a leadership role in their dissemination. Given the variety of practice sizes and organizational structures represented in this study, the capacity of various practice types to adopt measurement-based care methods for depression care is promising.

Finally, because of lack of a control group, it will be difficult to make any inference as to whether use of PHQ-9 facilitated improved outcomes in this patient population. The STAR*D and TMAP results have demonstrated that use of depression severity measures coupled with treatment algorithms result in improved outcomes, compared with treatment as usual. This study is unique in assessing the helpfulness of PHQ-9 (as a component of measurement-based care), as part of overall clinical decision making in psychiatric practices. Similar to several primary care trials in depression where patient-rated depression instruments were utilized (29–32), findings suggest that PHQ-9 is helpful, despite anecdotal experiences suggesting that many psychiatrists eschew its use because of the belief that it does not provide additional value in clinical practice. With our findings, an appropriate next step is to conduct a clinical trial to determine whether use of PHQ-9 results in better outcomes for patients with depression, compared with usual psychiatric treatment.

The strengths of this study include sampling psychiatric practices from broad geographic areas with significant diversity in organizational structure, practice size, and patient populations; a large number of patients; and the longitudinal design of the study, which included patient-level outcomes (to be reported in a separate article). Moreover, patients with depressive disorders and most nonpsychotic co-occurring conditions were included, potentially providing a more generalizable sample of psychiatric outpatients.

Conclusions

Findings of this study emphasize the helpfulness of a simple and easy-to-use depression symptom and severity monitoring tool (such as PHQ-9) in facilitating clinical decision making in routine psychiatric care of patients with depression. The implementation of measurement-based care methods was achievable even in practices with limited resources. Definitive studies evaluating the magnitude of effect from measurement-based care compared with usual care are necessary next steps.

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